

## A Just Culture as the Foundation for High Reliability

Georgia Society for Healthcare Risk Management Briefing

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#### The "Why" for Culture Change

#### **Strategic Imperatives**

- Build organizational resilience
   & capacity for change
- Support the journey toward "High Reliability" (e.g., avoid "all cause" preventable patient harm)
- Achieve important organizational, clinical & operational targets
  - Respond consistently & justly to unwelcome conduct
  - Gain recognition
  - Avoid penalties

#### **Data Signals**

- Culture of Safety survey data
- Employee Engagement survey data
- Event reporting trends
- Risk Assessments (proactive) and/or Sentinel Event data
- Retention data
- Grievances (# and % over-turned)
- HAC/HAI Performance Data
- Accreditation (e.g., TJC) or regulatory findings (e.g., CMS)



#### **Just Culture**

#### The Objective

A system of workplace justice (investigatory and response) to manage our:

- Inescapable Human Fallibility
- Human Free Will

This system of justice serves to drive:

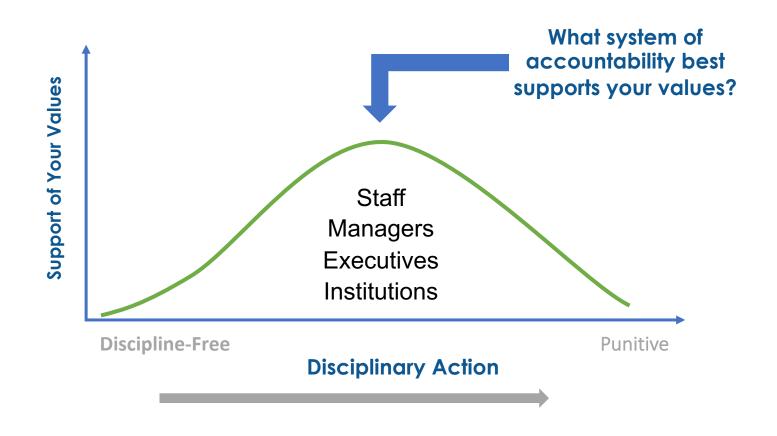
- A Values-Centric Culture
- Systematic Learning
- Fair Accountability

Just Culture is aligned with healthcare values of:

Safety: Patient & Worker
Effectiveness
Patient-centeredness
Timeliness
Efficiency
Equitability



## Seeking a Culture of Accountability and Learning





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#### On Being Human



**Human Error** 

Doing other than what was intended (a slip, lapse, or fumble)



**Free Will** 

(A choice or decision)



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#### **Performance Shaping Factors**





#### To Err is Human



#### The Spectrum of Human Behavior

#### Human Error

Individual should have done other then what they did (slip, lapse, fumble)

#### At-Risk Behavior

Risk is not recognized, or mistakenly believed to be justified

#### **Reckless**

Conscious
disregard of a
substantial and
unjustifiable risk
of harm

#### Knowledge

Knowingly causing harm (sometimes justified)

#### **Purpose**

Purpose to cause harm (never justified)

Missing your exit while driving



Driving 8 mph above posted speed limit



Driving a speed of 130 mph to "Show off"



Driving across someone's lawn to get around traffic



Intentionally driving into a crowd



Unintended conduct:
Individual should have done other then what they did

Accept

At-Risk Behavior

A choice where risk is not recognized, or is mistakenly believed to be justified

Coach

**Reckless** 

Conscious
disregard of a
substantial and
unjustifiable risk
of harm

Sanction

Knowledge

Knowingly causing harm (sometimes justified)

Sanction

**Purpose** 

A purpose to cause harm (never justified)

**Sanction** 

All Independent of the Actual Outcome

#### **Outcome / Severity bias**

WHAT IS IT?

When we allow the <u>severity</u> of the outcome...

.... to drive its <u>response</u> to an event.



#### Spectrum of Human Behavior

#### Human Error

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**The Safety Space** 

**The Human Resource Space** 

The Line Manager's Space





The Just Culture Algorithm





#### THE THREE DUTIES

Align my conduct with organizational and societal values



#### Don't do rule

(written and unwritten)

- Avoid bullying, harassment, theft
- Uphold the Code of Conduct & professionalism standards

Duty to follow a procedural rule



#### How to rule

- Hand hygiene
- Conduct a pre-procedure "time out"

Duty to produce an outcome



#### What to do rule

(what I'm hired to produce – measured over time)

- Reduce your budget by 2%
- Come to work on time



#### **Being Compliant with Procedures**

A nurse, floated to a newly established overflow ICU caring for patients with COVID-19, forgets to administer a dose of medication. The omission may compromise the patient's ability to recover AND the integrity of a clinical trial he was enrolled in.



## Being Compliant with Procedures

#### DUTY TO FOLLOW PROCEDURAL RULES

Did the employee breach a duty to follow a procedural rule?



#### Aligning Conduct to Values

A manager who had lost a son in the Gulf War, was heard saying to a colleague that she would never hire anyone from a middle eastern country.

A subsequent review of job applications showed a number of qualified middle-eastern candidates that were not brought in for interviews.



#### Aligning Conduct to Values

Harm/Potential harm: Discrimination → loss of economic opportunity; loss of

career opportunity (personal growth; satisfaction; networking)

Value Impugned: Diversity/Inclusion



#### **Producing Results**

An ED physician has a risk adjusted return rate to the ED double that of her peers.



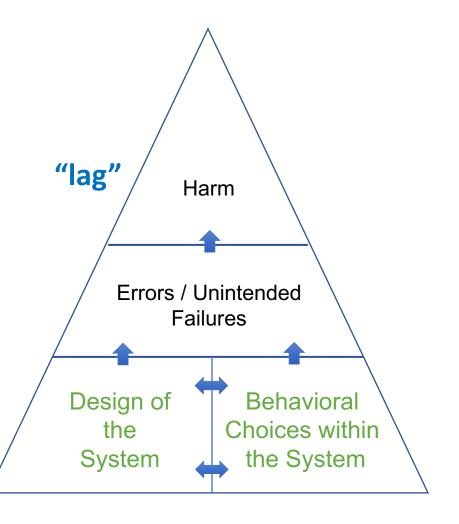
#### **Producing Results**



## Produce Outcomes By Focusing on the Right Things

"lead"

- Monitor it all
- But actively manage:
  - System Design
  - Safety Culture





## What questions come to mind right now?

### Next Steps



#### Just Culture Support for GSHRM Members

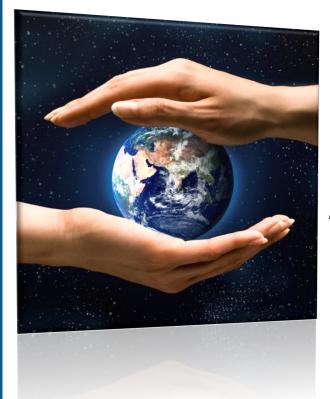
#### **Just Culture Pathways**

Recommendations to Implement and Sustain a Just Culture in Your Organization

https://justculture.com/just-culture-pathways-program/

#### **Just Culture Resources**

- 1. Just Culture Certification Courses: Develops deep Just Culture subject matter expertise.
- 2. Just Culture Training for Managers & Influencers: Introduces concepts & principles; builds working vocabulary.
- **3. RCA Training**: Prepares teams to identify system issues, matching corrective actions to identified causal forces.
- **4. Trajectories:** Allows teams to identify, implement & monitor highest level risk reduction strategies within recalcitrant workflows.



## Building a Culture of Learning & Accountability

### Thank you!

